

**AUTHORIZATION:**

The undersigned represents and certifies UNDER PENALTY OF PERJURY that:

- I. \_\_\_\_\_ (“Third-Party Filer”) understands and agrees that any compensation to us, as the Third-Party Filer, paid in consideration of our services to the Eligible Claimant (s) for whom we have filed claims on behalf in the *RBS Securities Fair Fund*, may not be paid or deducted from any Distribution Payments received from the Fair Fund.
- II. If any Distribution Payment is to be made to us as the Third-Party Filer to distribute to the Eligible Claimant(s), we certify that all Eligible Claimant(s), have authorized this payment method.
- III. Any distribution to us as the Third-Party Filer will be allocated for the benefit of the Eligible Claimant and not for the benefit of the Third-Party Filer.
- IV. We also certify that we have in place an auditable mechanism that will be made available to the Distribution Agent and the SEC staff upon request to confirm that each Eligible Claimant on whose behalf you were paid, received the full Distribution Payment directed to them.

**Please note that this form must be returned to Epiq Global.**

Executed this \_\_\_\_\_ day of \_\_\_\_\_ in \_\_\_\_\_  
Month Year

**By:** \_\_\_\_\_ (Third-Party Filer)

\_\_\_\_\_  
Signature of Person signing on behalf of Third-Party Filer

\_\_\_\_\_  
Print Name of Person signing on behalf of Third-Party Filer

\_\_\_\_\_  
Capacity of person signing on behalf of Third-Party Filer  
(Executor, President, Custodian, etc.)